



<b>Regular mail:</b> Pax World Funds PO Box 9824 Providence RI 02940-8024	<b>Overnight mail:</b> Pax World Funds 4400 Computer Drive Westborough MA 01581-1722 Telephone: 1(800) 372-7827
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## SIMPLE IRA Rollover Certification

Use this form to certify that a contribution is an eligible rollover contribution. You must complete the rollover within 60 calendar days of your receipt of that distribution. For help filling out this form please call 1(800) 372-7827 between 8AM and 6PM ET M-F.

**Restriction on Indirect (60-Day) Rollovers:** An IRA participant is allowed only one rollover across all IRAs (Traditional, Rollover, Roth, SEP, SARSEP and SIMPLE IRAs) in aggregate that a taxpayer owns in any 12-month or 365-day period. As an alternative, a participant can make an unlimited number of trustee-to-trustee transfers where the proceeds are delivered directly to the receiving financial institution, successor custodian or trustee. You must contact the receiving institution to initiate a trustee-to-trustee transfer. For more information please visit the Internal Revenue Service’s web site [www.irs.gov](http://www.irs.gov) using the search term “IRA One-Rollover-Per-Year Rule”.

### 1. Participant Information

First Name	Middle Initial	Last Name	Social Security/Tax ID No.
Mailing Address	City	State	Zip Code
Birthdate (mm/dd/yyyy)	Contact Telephone	Email Address	

Check here if contact information above is new.

Pax World SIMPLE IRA Account Number (if applicable) \_\_\_\_\_

**(If you are establishing a new Pax World SIMPLE-IRA Account, you must also complete a SIMPLE-IRA Account Application.)**

### 2. Invest as Follows:

Amount of Rollover \$ \_\_\_\_\_

Please purchase into the following fund(s):

- Pax Small Cap Fund \$ \_\_\_\_\_ or \_\_\_\_\_ %  Investor Class (3048);  Class A (3098)
- Pax Mid Cap Fund \$ \_\_\_\_\_ or \_\_\_\_\_ %  Investor Class (3043)
- Pax Large Cap Fund \$ \_\_\_\_\_ or \_\_\_\_\_ %  Investor Class (3050)
- Pax ESG Beta® Quality Fund \$ \_\_\_\_\_ or \_\_\_\_\_ %  Investor Class (3042);  Class A (3092)
- Pax ESG Beta® Dividend Fund \$ \_\_\_\_\_ or \_\_\_\_\_ %  Investor Class (3041)
- Pax MSCI EAFE ESG Leaders Index Fund \$ \_\_\_\_\_ or \_\_\_\_\_ %  Investor Class (3047)
- Pax Global Opportunities Fund \$ \_\_\_\_\_ or \_\_\_\_\_ %  Investor Class (3051)
- Pax Global Environmental Markets Fund \$ \_\_\_\_\_ or \_\_\_\_\_ %  Investor Class (3049);  Class A (3099)
- Pax Ellevest Global Women’s Leadership Fund \$ \_\_\_\_\_ or \_\_\_\_\_ %  Investor Class (3046)
- Pax High Yield Bond Fund \$ \_\_\_\_\_ or \_\_\_\_\_ %  Investor Class (3044);  Class A (3094)
- Pax Core Bond Fund \$ \_\_\_\_\_ or \_\_\_\_\_ %  Investor Class (3045)
- Pax Balanced Fund \$ \_\_\_\_\_ or \_\_\_\_\_ %  Investor Class (3040)

### 3. Participant Certification

By signing below, I certify that the following are true and correct:

- The investment is an eligible SIMPLE IRA rollover contribution being rolled over within 60 calendar days.
- The rollover does not include any required minimum distribution amounts or corrective distribution amounts.

I understand that this rollover contribution is irrevocable. I agree that I am solely responsible for all tax consequences. I also agree that neither the Custodian nor Pax World Funds shall have responsibility for any such tax consequences or any consequences resulting from this amount being ineligible for rollover. Rules regarding rollovers, and their tax implications, are complex. Please refer to IRS Publications 560 and 590 or a professional tax advisor for more information.

I have read this form and understand and agree to be legally bound by the terms of this form. I also understand that the Custodian will rely on my certification when accepting my rollover contribution.

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Participant's Signature | Date