



<b>Regular mail:</b> Pax World Funds PO Box 9824 Providence RI 02940-8024	<b>Overnight mail:</b> Pax World Funds 4400 Computer Drive Westborough MA 01581-1722 Telephone: 1(800) 372-7827
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## Transfer of Shares

Use this form to make a gift or transfer of shares to an individual or a non-profit organization, or for re-registration (divorce/separation, individual to trust, etc.). Since the transfer of shares does not result in a sale of securities, the donor does not incur a taxable event. Please consult with your tax advisor for gift or inheritance questions. This form is not to be used for Individual Retirement Accounts (IRAs), 403(b) retirement accounts, or Coverdell Education Savings Accounts. If you have any questions, please call 1(800) 372-7827 between 8am and 6pm ET M-F.

**Donor: Please complete Sections 1 through 5 then forward the original form and additional pages (if any) along with the Pax World Funds Prospectus and New Account Application to the recipient for completion.**

### 1. Account Information: (As name(s) appear on your account statement)

Account Owner's Name	Account Number		
Joint Account Owner's or Responsible Individual's Name			
Mailing Address	City	State	Zip Code
( )	Contact Telephone		
Contact Telephone		Email Address	

### 2. Reason for Transfer

Re-registration (divorce/separation, individual to trust, former minor, etc.)

Death (inheritance)      Date of Death (required): \_\_\_\_\_

Alternate Valuation Date: \_\_\_\_\_ or Alternate Value (optional)\* \$: \_\_\_\_\_

\* There is a special rule under the estate tax that allows the executor (the person in charge of the estate) to elect a different valuation date in certain cases. If the estate qualifies for this election, and the executor makes the election, the valuation date is six months after the date of death. The principal reason for making this election is to reduce the amount of estate tax that must be paid. But the election also has an effect on income tax, because it means you will now use the later date to determine your basis.

Gift      Date of Gift : \_\_\_\_\_

If a reason is not provided, this transfer will be coded as a gift.

### 3. Gift Amount:

Full Account

Partial Account – List Fund(s) and amount(s) below.

Fund: \_\_\_\_\_ Amount: \$ \_\_\_\_\_ or # of Shares : \_\_\_\_\_ or percent: \_\_\_\_\_ %

Fund: \_\_\_\_\_ Amount: \$ \_\_\_\_\_ or # of Shares : \_\_\_\_\_ or percent: \_\_\_\_\_ %

Fund: \_\_\_\_\_ Amount: \$ \_\_\_\_\_ or # of Shares : \_\_\_\_\_ or percent: \_\_\_\_\_ %

### 4. Recipient of Shares/Gifts:

Please indicate to whom the shares should be transferred. Be sure to check with the organization to whom you are giving the shares to ensure that the name and address are correct; (i.e. should the shares be registered in the name of the organization, an endowment trust, special campaign, etc.?)

\_\_\_\_\_  
Name(s)

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code





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## Transfer of Shares

**To the Recipient:** You are being given shares in a Pax World Fund as indicated on pages 1 & 2 of this form. Please complete pages 3 & 4 and mail the entire Transfer of Shares Form, the New Account Application (if applicable) and a copy of your Corporate resolution (for organizations). Please consult your tax advisor with any tax questions resulting from this transfer. Please call Investor Services at 1(800) 372-7827 if you have questions about the Fund or did not receive a copy of the latest prospectus.

**RECIPIENT: Please complete Sections 1, 2 and 3, then forward to Pax World Funds, see address on reverse side.**

### 1. Account information:

Account Owner's Name	Account Number (if existing account)		
Joint Account Owner/ Authorized Person			
Mailing Address	City	State	Zip Code
( )			
Contact Telephone	Email Address		

**Existing Account**

I (We) currently have an account with Pax World Funds, registered as indicated above. The shares should be transferred to this existing account.

**New Account**

I (We) do not currently have an account with Pax World Funds. I (We) have attached a completed New Account Application. (Application is required even if the recipient intends to redeem the gifted shares.)

*Note: If the receiving account registration is significantly different from the name provided in section 4 of the Donor's Form, the form must be returned to the Donor for approval.*

### 2. Disposition of New Shares - Complete Sections A and B

**A.**  Hold shares on deposit until further notice.

Fair Market Value Acceptance : \_\_\_\_\_  
Signature of new owner

If your existing account or new account will use the Average Cost accounting method, you must sign above indicating acceptance of the shares valued at fair market value of the date of gift or settlement date if the shares should be transferred at a loss.

- Redeem shares as indicated below.
  - Redeem dollars: \$ \_\_\_\_\_
  - Redeem shares: \_\_\_\_\_
  - Redeem all shares.

**B. Delivery Instructions**

- Mail to my address as indicated in Section 1.  
 (If your address has changed within the past 30 days, a Medallion Signature Guarantee is required. (see below))
- Mail to an alternate address and/or make the check payable to a third party.  
 (Medallion Signature Guarantee is required. (see below))

Make check payable to: \_\_\_\_\_ .

Mail check to:

Mailing Address	City	State	Zip
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Send to my bank account by electronic transfer. If these banking instructions are not currently on file with Pax World, your signature on this form must be guaranteed with a Medallion Signature Guarantee (see below). For new instructions please attach a voided, unsigned check or savings deposit slip for the bank account to be used in conjunction with electronic (ACH) transactions.

\_\_\_\_\_|\_\_\_\_\_  
Bank Name Name(s) on Bank Account

\_\_\_\_\_|\_\_\_\_\_ This is a:  Checking  Savings Account  
Bank Routing/ABA Number Bank Account Number

(\*Note: One common name must appear in both your Pax World account registration and the bank account registration.)

**3. New Account Owners' Signatures and Acceptance:**

**Acceptance:** By signing here, the owners of the account listed in Section 1 accept the gift of transferred shares. Certain Delivery Instructions (as noted above), require your signature(s) to be guaranteed by an eligible guarantor institution. An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

\_\_\_\_\_|\_\_\_\_\_  
Signature Date

\_\_\_\_\_|\_\_\_\_\_  
Signature Date

**Medallion Signature Guarantee (if required)**

**Medallion Signature Guarantee (if required)**