



**Regular mail:**  
Pax World Funds  
PO Box 9824  
Providence RI 02940-8024

**Overnight mail:**  
Pax World Funds  
4400 Computer Drive  
Westborough MA 01581-1722  
Telephone: 1(800) 372-7827

## Coverdell Education Savings Account Transfer of Assets

Use this form to request a Coverdell ESA transfer of assets from an existing Coverdell ESA to a Coverdell ESA at Pax World Funds. Based on your instructions, BNY Mellon Investment Servicing Trust Company will initiate the transfer for you. Incomplete information will result in delays in processing your request.

For help with filling out this form please call 1(800) 372-7827 between 8am and 6pm ET M-F.

### 1. Personal Information

#### Responsible Individual (Parent/Guardian of Designated Beneficiary)

First Name	Middle Initial	Last Name	Social Security/Tax ID No.
Mailing Address	City	State	Zip Code
Birthdate (mm/dd/yyyy)	Contact Telephone	Email Address	

Check here if contact information above is new.

#### Designated Beneficiary of Coverdell ESA

First Name	Middle Initial	Last Name	Social Security/Tax ID No.
------------	----------------	-----------	----------------------------

#### Complete If Transferring From An Eligible Family Member's Coverdell ESA

(This option is only available if you are the Responsible Individual listed on the account.)

Family Member Name	Relationship
Social Security Number:	Date of Birth

### 2. Investment Instructions (Complete Items A and B)

A.  I am opening a Coverdell Education Savings Account(ESA) and have attached the required application.

Deposit the proceeds into my existing Coverdell ESA # \_\_\_\_\_ .

B. Please purchase into the following fund(s):

Pax Small Cap Fund	\$ _____ or _____ %	<input type="checkbox"/> Investor Class (3048); <input type="checkbox"/> Class A (3098)
Pax Mid Cap Fund	\$ _____ or _____ %	<input type="checkbox"/> Investor Class (3043)
Pax Large Cap Fund	\$ _____ or _____ %	<input type="checkbox"/> Investor Class (3050)
Pax ESG Beta® Quality Fund	\$ _____ or _____ %	<input type="checkbox"/> Investor Class (3042); <input type="checkbox"/> Class A (3092)
Pax ESG Beta® Dividend Fund	\$ _____ or _____ %	<input type="checkbox"/> Investor Class (3041)
Pax MSCI EAFE ESG Leaders Index Fund	\$ _____ or _____ %	<input type="checkbox"/> Investor Class (3047)

continued on next page

- Pax Global Opportunities Fund \$ \_\_\_\_\_ or \_\_\_\_\_ %  Investor Class (3051)
- Pax Global Environmental Markets Fund \$ \_\_\_\_\_ or \_\_\_\_\_ %  Investor Class (3049);  
 Class A (3099)
- Pax Ellevest Global Women’s Leadership Fund \$ \_\_\_\_\_ or \_\_\_\_\_ %  Investor Class (3046)
- Pax High Yield Bond Fund \$ \_\_\_\_\_ or \_\_\_\_\_ %  Investor Class (3044);  
 Class A (3094)
- Pax Core Bond Fund \$ \_\_\_\_\_ or \_\_\_\_\_ %  Investor Class (3045)
- Pax Balanced Fund \$ \_\_\_\_\_ or \_\_\_\_\_ %  Investor Class (3040)

**3. Transfer and Authorization Instructions to Current Custodian**

Please attach a copy of a recent statement, if possible. Note: The current custodian may require a Medallion Signature Guarantee to process the transfer request. Please see the Authorization section for an explanation of the Medallion Signature Guarantee.

\_\_\_\_\_  
Name of Current Custodian or Agent

\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_|\_\_\_\_\_  
Address of Current Custodian or Agent City State Zip Code

( ) \_\_\_\_\_  
Daytime Telephone of Custodian or Agent

**1. Investment to transfer:** \_\_\_\_\_

Account Number: \_\_\_\_\_ Share Class : \_\_\_\_\_

Liquidate Entire Account  Partial Dollar Amount \$: \_\_\_\_\_ or # of Shares \_\_\_\_\_  Transfer In-Kind

For Certificate of Deposits:  Immediately\*  At Maturity (Date: \_\_\_\_\_)

**2. Investment to transfer:** \_\_\_\_\_

Account Number: \_\_\_\_\_ Share Class : \_\_\_\_\_

Liquidate Entire Account  Partial Dollar Amount \$: \_\_\_\_\_ or # of Shares \_\_\_\_\_  Transfer In-Kind

For Certificate of Deposits:  Immediately\*  At Maturity (Date: \_\_\_\_\_)

\* **Note:** If you wish to have certificates of deposit transferred immediately and they have not matured, you may incur a redemption penalty. We cannot accept requests to transfer assets from certificates of deposit more than 60 days before their maturity.

**4. Authorization**

I authorize the transfer of assets as noted above to a Pax World Funds Coverdell ESA and authorize the current custodian, Pax World Funds, and BNY Mellon Investment Servicing Trust Company to process this request on my behalf. I understand as the Responsible Individual, it is my responsibility to insure the prompt transfer of assets by the current custodian. I have read and understand all information on this form and hereby provide applicable authorization.

\_\_\_\_\_  
Signature of Responsible Individual

\_\_\_\_\_  
Date

Medallion Signature Guarantee (if required by your current custodian): An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

<b>Medallion Signature Guarantee</b>
--------------------------------------