

Asset Class

Fixed Income

Abbvie Inc. 3.2% 14-may-2026
Adobe Systems Incorporated 4.75% 01-feb-2020
Alabama Economic Settlement Auth Bp Settlement Rev 3.163% 15-sep-2025
Ally Financial Inc. 3.25% 05-nov-2018
Amazon.com, Inc. 2.8% 22-aug-2024
Amazon.com, Inc. 3.875% 22-aug-2037
American Express Credit Corporation 2.25% 05-may-2021
American Mun Pwr Ohio Inc Rev 6.973% 15-feb-2024
American Tower Corporation 2.25% 15-jan-2022
Amerigas Partners, L.p. 5.875% 20-aug-2026
Anheuser Busch Inbev Finance, Inc. 3.3% 01-feb-2023
Antero Midstream Partners Lp 5.375% 15-sep-2024
Apple Inc. 2.85% 06-may-2021
Apple Inc. 2.85% 11-may-2024
Apple Inc. 3.0% 20-jun-2027
Asian Development Bank 2.125% 19-mar-2025
At&t Inc. 3.9% 14-aug-2027
At&t Inc. 4.9% 14-aug-2037
Bank Of America Corporation 1.95% 12-may-2018
Bank Of America Corporation 2.151% 09-nov-2020
Bank Of America Corporation 3.3% 11-jan-2023
Bank Of America Corporation 3.5% 19-apr-2026
Bank Of America Corporation 5.75% 01-dec-2017
Bank Of New York Mellon Corporation 2.2% 16-aug-2023
Bank Of New York Mellon Corporation 2.45% 17-aug-2026
Becton, Dickinson And Company 7.0% 01-aug-2027
Blackrock, Inc. 3.375% 01-jun-2022
Ca, Inc. 5.375% 01-dec-2019
California St For Previous Issues See 13063b Forfuture 5.0% 01-oct-2028
Calvert Social Investment Foundation, Inc. 1.5% 30-apr-2020
Campbell Soup Company 3.3% 19-mar-2025
Care Capital Properties Lp 5.125% 15-aug-2026
CEI INVESTMENT NOTES 171101 2.000 111018
Centerpoint Energy Houston Electric, Llc 2.25% 01-aug-2022
Chicago Ill Met Wtr Reclamation Dist Gtr Chicago 4.0% 01-dec-2036
Chubb Ina Holdings, Inc. 3.35% 03-may-2026
Cisco Systems, Inc. Frn 01-mar-2019
Concho Resources Inc. 3.75% 01-oct-2027
Conocophillips 2.875% 15-nov-2021
Conocophillips 4.95% 15-mar-2026
Cummins Engine Co., Inc. 7.125% 01-mar-2028
Dallas Tex Indpt Sch Dist 6.45% 15-feb-2035
DELL EQUIPMENT FINANCE TRUST DEFT 2017 2
Digital Realty Trust Lp 3.95% 01-jul-2022
Discover Bank 3.2% 09-aug-2021
Discover Bank 4.25% 13-mar-2026
Discovery Communications Llc 3.95% 20-mar-2028
Ebay Inc. 2.75% 30-jan-2023
Eli Lilly And Company 3.1% 15-may-2027
ENVEST MICROFINANCE SR NOTE 4 09/20 FIXE
FED HM LN PC POOL 2B1077 FH 01/43 FLOATI
FED HM LN PC POOL A96555 FG 01/41 FIXED
FED HM LN PC POOL C03805 FG 04/42 FIXED
FED HM LN PC POOL C91385 FG 08/31 FIXED
FED HM LN PC POOL C91854 FG 10/35 FIXED
FED HM LN PC POOL G05705 FG 10/39 FIXED
FED HM LN PC POOL G07787 FG 08/44 FIXED
FED HM LN PC POOL G14908 FG 06/28 FIXED
FED HM LN PC POOL G14957 FG 01/29 FIXED
FED HM LN PC POOL G30681 FG 01/34 FIXED
FED HM LN PC POOL J15724 FG 06/26 FIXED
FED HM LN PC POOL K92455 FG 03/35 FIXED
FED HM LN PC POOL Q07480 FG 04/42 FIXED
FED HM LN PC POOL Q31260 FG 02/45 FIXED
FED HM LN PC POOL Q50642 FG 09/47 FIXED
FED HM LN PC POOL Q51644 FG 10/47 FIXED
FED HM LN PC POOL U89032 FG 07/33 FIXED
Federal Farm Credit Banks Funding Corp. 1.12% 20-aug-2018
Federal Farm Credit Banks Funding Corp. 1.14% 04-sep-2018
Federal Farm Credit Banks Funding Corp. 1.77% 05-oct-2023
Federal Farm Credit Banks Funding Corp. 1.875% 02-mar-2022
Federal Home Loan Bank System 2.375% 12-mar-2021
Federal National Mortgage Association 1.0% 20-dec-2018
Federal National Mortgage Association 1.05% 25-may-2018
Fhlmc Remic Series K-049 3.01% 25-jul-2025
Fifth Third Bank (cincinnati, Ohio) 2.25% 14-jun-2021
Fnma 30yr Pool#as0234 4.000% 01-aug-2043
FNMA POOL 932862 FN 12/40 FIXED 3.5
FNMA POOL AB3678 FN 10/41 FIXED 3.5
FNMA POOL AB3902 FN 11/26 FIXED 3
FNMA POOL AB5679 FN 07/42 FIXED 4
FNMA POOL AB9554 FN 06/43 FIXED 3
FNMA POOL AB9757 FN 06/43 FIXED 3.5
FNMA POOL AD6437 FN 06/40 FIXED 5
FNMA POOL AH1131 FN 01/41 FIXED 4
FNMA POOL AH4793 FN 02/41 FIXED 4
FNMA POOL AH7196 FN 03/41 FIXED 4.5
FNMA POOL AJ5442 FN 11/41 FIXED 4.5
FNMA POOL AJ9822 FN 12/26 FIXED 3.5
FNMA POOL AK9483 FN 04/42 FLOATING VAR
FNMA POOL AL0850 FN 10/26 FIXED VAR
FNMA POOL AL2905 FN 06/40 FIXED VAR
FNMA POOL AL6816 FN 09/43 FLOATING VAR
FNMA POOL AL7199 FN 08/45 FIXED VAR
FNMA POOL AL7594 FN 08/45 FIXED VAR
FNMA POOL AL8633 FN 06/46 FIXED VAR
FNMA POOL AL9281 FN 09/46 FIXED VAR
FNMA POOL AL9727 FN 01/42 FIXED VAR
FNMA POOL AN2477 FN 08/26 FIXED 2.48
FNMA POOL AN2852 FN 09/26 FIXED 2.27
FNMA POOL AN4457 FN 03/24 FIXED 2.94
FNMA POOL AN4492 FN 01/29 FIXED 3.66
FNMA POOL AN4858 FN 03/27 FIXED 3.14
FNMA POOL AN6675 FN 09/27 FIXED 3.27
FNMA POOL AP6309 FN 09/42 FLOATING VAR
FNMA POOL AS2530 FN 05/34 FIXED 4
FNMA POOL AS6074 FN 10/45 FIXED 4
FNMA POOL AS6229 FN 11/45 FIXED 4
FNMA POOL AS7010 FN 04/46 FIXED 3.5
FNMA POOL AS7372 FN 06/46 FIXED 3
FNMA POOL AS8142 FN 10/46 FIXED 4
FNMA POOL AS9803 FN 05/47 FIXED 4
FNMA POOL AX7665 FN 01/45 FIXED 4
FNMA POOL AY8604 FN 04/45 FIXED 3.5
FNMA POOL AZ1070 FN 06/45 FIXED 4
FNMA POOL AZ2646 FN 09/45 FIXED 4

Asset Class

Fixed Income, continued

FNMA POOL AZ3292 FN 10/45 FIXED 4
FNMA POOL AZ4750 FN 10/45 FIXED 3.5
FNMA POOL BC0960 FN 06/46 FIXED 4
FNMA POOL BC9468 FN 06/46 FIXED 3
FNMA POOL BE1348 FN 10/46 FIXED 3
FNMA POOL BE2976 FN 01/47 FIXED 4
FNMA POOL BE5068 FN 07/46 FIXED 4
FNMA POOL BE6489 FN 01/47 FIXED 4.5
FNMA POOL BH7375 FN 08/47 FIXED 3.5
FNMA POOL CA0110 FN 08/47 FIXED 3.5
FNMA POOL MA0616 FN 01/31 FIXED 4
FNMA POOL MA1870 FN 04/34 FIXED 4.5
FNMA POOL MA2321 FN 07/35 FIXED 3.5
FNMA POOL MA2688 FN 07/36 FIXED 4
FNMA POOL MA3128 FN 09/37 FIXED 3.5
Ford Motor Credit Company Llc Frn 12-mar-2019
Ford Motor Credit Company Llc Frn 20-nov-2018
FREDDIE MAC FHR 4417 PU
Fremf 2011-k13 Mortgage Trust 4.611% 25-jan-2048
Fremf 2015-k721 Mortgage Trust 3.565% 25-nov-2047
Ge Capital International Funding Co. 4.418% 15-nov-2035
Georgia St 4.31% 01-oct-2026
GNMA II POOL MA1109 G2 06/43 FLOATING VA
GNMA II POOL MA2602 G2 02/45 FIXED 4
GNMA II POOL MA4069 G2 11/46 FIXED 3.5
GNMA POOL 676384 GN 01/38 FIXED 6
GNMA POOL AU4920 GN 09/41 FIXED 3.02
Goldman Sachs Group, Inc. 6.75% 01-oct-2037
Goldman Sachs Group, Inc. Frn 30-apr-2018
GOVERNMENT NATIONAL MORTGAGE A GNR 2013
GOVERNMENT NATIONAL MORTGAGE A GNR 2013
GOVERNMENT NATIONAL MORTGAGE A GNR 2014
GOVERNMENT NATIONAL MORTGAGE A GNR 2014
Government Of The United States Of America 0.125% 15-apr-2018
Government Of The United States Of America 0.125% 15-apr-2021
Government Of The United States Of America 0.125% 15-apr-2022
Government Of The United States Of America 0.125% 15-jul-2024
Government Of The United States Of America 0.375% 15-jul-2027
Government Of The United States Of America 0.625% 15-jan-2026
Government Of The United States Of America 0.875% 15-feb-2047
Government Of The United States Of America 1.0% 15-oct-2019
Government Of The United States Of America 1.25% 15-jul-2020
Government Of The United States Of America 1.375% 15-jan-2020
Government Of The United States Of America 1.75% 30-nov-2021
Government Of The United States Of America 1.875% 30-apr-2022
Government Of The United States Of America 2.0% 31-may-2024
Government Of The United States Of America 2.125% 30-nov-2023
Government Of The United States Of America 2.125% 30-sep-2021
Government Of The United States Of America 2.125% 31-mar-2024
Government Of The United States Of America 2.375% 15-aug-2024
Government Of The United States Of America 2.375% 15-may-2027
Government Of The United States Of America 3.0% 15-may-2047
Government Of The United States Of America 4.375% 15-nov-2039
Government Of The United States Of America 4.5% 15-feb-2036
Government Of The United States Of America 5.0% 15-may-2037
Government Of The United States Of America 6.25% 15-may-2030
Hca, Inc. 4.5% 15-feb-2027
Hca, Inc. 5.5% 15-jun-2047
Hess Corporation 3.5% 15-jul-2024
Home Depot, Inc. 2.8% 14-sep-2027
Honolulu Hawaii City & Cnty 3.125% 01-oct-2028
Ing Bank N.v. 2.0% 26-nov-2018
Ingersoll-rand Public Limited Company 6.443% 15-nov-2027
Intel Corporation 3.15% 11-may-2027
International Bank For Reconstruction & Development 2.125% 03-mar-2025
International Lease Finance Corporation 4.625% 15-apr-2021
International Lease Finance Corporation 7.125% 01-sep-2018
INTL BK RECON + DEVELOP SR UNSECURED 05/
Iron Mountain Incorporated 4.875% 15-sep-2027
Jpmorgan Chase & Co. 2.95% 01-oct-2026
Jpmorgan Chase & Co. 2.972% 15-jan-2023
Kentucky St Ppty & Bldgs Commn Revs 5.373% 01-nov-2025
Kimberly-clark Corporation 2.65% 01-mar-2025
Lamb Weston Holdings, Inc. 4.625% 01-nov-2024
Lamb Weston Holdings, Inc. 4.875% 01-nov-2026
Level 3 Financing, Inc. 5.375% 15-jan-2024

Equity & Warrants

Holdings are subject to change.

Los Angeles Calif Wastewater Sys Rev 3.144% 01-jun-2026
Lundin Mining Corporation 7.5% 01-nov-2020
Lyondellbasell Industries Nv 6.0% 15-nov-2021
Marriott International, Inc. 3.25% 15-sep-2022
Masco Corporation 4.375% 01-apr-2026
Massachusetts St 4.2% 01-dec-2021
Mastr Asset Backed Securities Trust 2007-ncw 0.0% 25-may-2037
Merck & Co., Inc. 2.35% 10-feb-2022
Mgm Resorts International 4.625% 01-sep-2026
Microsoft Corporation 2.4% 08-aug-2026
Microsoft Corporation 2.875% 06-feb-2024
Midamerican Energy Company 3.1% 01-may-2027
MILL CITY MORTGAGE TRUST MCMLT 2017 3 M1
Morgan Stanley & Co. Llc 2.625% 17-nov-2021
Morgan Stanley 2.2% 07-dec-2018
Morgan Stanley Frn 20-jan-2022
Napa Calif Solid Waste Rev 2.89% 01-aug-2031
Netapp, Inc. 3.25% 15-dec-2022
New Jersey St Transn Tr Fd Auth 6.104% 15-dec-2028
New Red Finance, Inc. 4.25% 15-may-2024
Newell Brands Inc 2.05% 01-dec-2017
Nexstar Broadcasting, Inc. 6.125% 15-feb-2022
Noble Energy, Inc. 3.9% 15-nov-2024
North American Development Bank 2.4% 26-oct-2022
Occidental Petroleum Corporation 3.5% 15-jun-2025
Oneok Partners, L.p. 8.625% 01-mar-2019
Pepsico, Inc. 2.375% 06-oct-2026
Pepsico, Inc. 3.0% 15-oct-2027
Pnc Bank Na 2.625% 17-feb-2022
Pnc Bank Na 3.1% 25-oct-2027
Prudential Financial, Inc. 7.375% 15-jun-2019
Raymond James Financial, Inc. 3.625% 15-sep-2026
Ross Stores, Inc. 3.375% 15-sep-2024
Royal Bank Of Scotland Plc 6.125% 15-dec-2022
Sabine Pass Liquefaction Llc 5.0% 15-mar-2027
Sabine Pass Liquefaction Llc 5.625% 01-mar-2025
San Francisco Calif City & Cnty Pub Utils Commntr Rev 6.0% 01-nov-2041
Santa Clara Vy Calif Wtr Dist Ctfs Partn 3.369% 01-jun-2027
Sirius Xm Radio Inc. 4.625% 15-may-2023
Solarcity Corp. 4.7% 29-may-2025
Southern Power Co. 4.15% 01-dec-2025
State Street Corporation 4.956% 15-mar-2018
Steel Dynamics, Inc. 5.0% 15-dec-2026
Taft Tex Indpt Sch Dist 4.207% 15-aug-2036
Target Corporation 6.0% 15-jan-2018
Time Warner Cable Inc. 6.75% 01-jul-2018
Tjx Companies, Inc. 2.25% 15-sep-2026
TOWD POINT MORTGAGE TRUST TPMT 2015 2 2M
TOWD POINT MORTGAGE TRUST TPMT 2017 2 A4
TOWD POINT MORTGAGE TRUST TPMT 2017 5 A1
Toyota Motor Credit Corporation 1.9% 08-apr-2021
Toyota Motor Credit Corporation 2.15% 08-sep-2022
Toyota Motor Credit Corporation 4.25% 11-jan-2021
Travelers Companies, Inc. 3.75% 15-may-2046
U.s. Bancorp 1.95% 15-nov-2018
United Parcel Service, Inc. 2.4% 15-nov-2026
Unitedhealth Group Incorporated 3.45% 15-jan-2027
University Calif Revs 4.059% 15-may-2031
University Mass Bldg Auth Proj Rev 6.573% 01-may-2039
Us Department Of Housing & Urban Development 4.62% 01-aug-2018
Verizon Communications Inc. 3.5% 01-nov-2024
Verizon Communications Inc. 4.5% 10-aug-2033
Viasat, Inc. 5.625% 15-sep-2025
Virgin Media Secured Finance Plc 5.5% 15-aug-2026
Visa Inc. 3.65% 15-sep-2047
Walt Disney Company 3.75% 01-jun-2021
Waste Management, Inc. 2.9% 15-sep-2022
Western Digital Corporation 7.375% 01-apr-2023
Zoetis Inc. 3.0% 12-sep-2027

Holdings are as of the period ended October 31, 2017 and are subject to change.

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