



Pax World Mutual Funds

Regular mail:
Pax World Funds
PO Box 9824
Providence RI 02940-8024

Overnight mail:
Pax World Funds
4400 Computer Drive
Westborough MA 01581-1722
Telephone: 1(800) 372-7827

Traditional IRA and Roth IRA Transfer Of Assets/Direct Rollover

Use this form to request an IRA transfer of assets or a direct rollover (excluding qualified rollover contributions (conversions) to a Roth IRA) from an existing retirement plan account to your IRA at Pax World Funds. Based on your instructions, BNY Mellon Investment Servicing Trust Company will initiate the transfer or rollover for you. If you are over age 70½, you are responsible for distributing any required minimum distribution amounts from your current retirement plan account (excluding Roth IRAs) in advance of the transfer or rollover. Incomplete information will result in delays in processing your request.

If you need assistance completing this form, please contact Shareholder Services at 1(800) 372-7827 between 8am and 6pm ET M-F.

Direct Rollover Notice: If this contribution is a direct rollover from a qualified plan, 403(b), or 457 plan, I understand that by signing page 2 of this form, I am acknowledging that the direct rollover contribution is an irrevocable election and is no longer eligible for special tax treatment which may be accorded to distributions from a qualified plan, 403(b), or 457 plan.

You should contact your current plan administrator or custodian prior to completing this form to ensure that you have received and completed any in-house forms that they may require. Direct rollovers from a qualified plan to an IRA can only be in the form of cash.

1. Participant Information

First Name	Middle Initial	Last Name	Social Security/Tax ID No.
Street Address	City	State	Zip Code
Birthdate (mm/dd/yyyy)	Contact Telephone	Email Address	

Check here if contact information above is new.

2. Please tell us where to invest. Complete items A, B, C and D.

A. I am opening a new IRA(s) and have attached the required application or documents.

Deposit the proceeds into my existing IRA account # _____

B. Type of Account Transferring Into: Traditional IRA Rollover IRA SEP IRA Roth IRA

C. Please purchase into the following fund(s):

Pax Large Cap Fund \$: _____ or _____ % Individual Class (3050)

Pax Mid Cap Fund \$: _____ or _____ % Individual Class (3043)

Pax Small Cap Fund \$: _____ or _____ % Individual Class (3048);
 Class A (3098)

Pax ESG Beta® Quality Fund \$: _____ or _____ % Individual Class (3042);
 Class A (3092)

Pax ESG Beta® Dividend Fund \$: _____ or _____ % Individual Class (3041)

Pax MSCI EAFE ESG Leaders Index Fund \$: _____ or _____ % Individual Class (3047)

Pax Ellevest Global Women's Index Fund \$: _____ or _____ % Individual Class (3046)

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Pax Global Environmental Markets Fund \$: _____ or _____ % Individual Class (3049)
 Class A (3099)

Pax Balanced Fund \$: _____ or _____ % Individual Class (3040)

Pax Core Bond Fund \$: _____ or _____ % Individual Class (3045)

Pax High Yield Bond Fund \$: _____ or _____ % Individual Class (3044);
 Class A (3094)

D. Type of Request:

- IRA Transfer of Assets (like accounts) Direct Rollover from a Qualified Plan to an IRA Direct Rollover from a 403(b) or 457 to an IRA.
- SEP IRAs can be transferred into a Traditional IRA.
- SIMPLE IRAs (after the required two year holding period) can be transferred into a Traditional IRA.

3. Current Custodian and Account Information

Please attach your most recent statement, if possible. Note: your current custodian may require a Medallion Signature Guarantee to process your transfer request. Please see the Participant Authorization section for an explanation of the Medallion Signature Guarantee.

Type of account you are transferring/rolling over from (check one):

- Traditional/Rollover IRA SEP-IRA Roth IRA 403(b) 457 Plan Qualified Plan*
- SEP IRA that is being transferred into a Traditional IRA.
- SIMPLE IRA (after the required two year holding period) that is being transferred into a Traditional IRA.

Name of Current Custodian or Agent

_____|_____|_____|_____
Mailing Address of Current Custodian or Agent City State Zip Code

() _____
Daytime Telephone of Custodian

1. Investment to transfer: _____

Account Number: _____ Share Class : _____

- Liquidate Entire Account Partial Dollar Amount \$: _____ or # of Shares _____ Transfer In-Kind
- For Certificate of Deposits: Immediately* At Maturity (Date: _____)

2. Investment to transfer: _____

Account Number: _____ Share Class : _____

- Liquidate Entire Account Partial Dollar Amount \$: _____ or # of Shares _____ Transfer In-Kind
- For Certificate of Deposits: Immediately* At Maturity (Date: _____)

* **Note:** If you wish to have certificates of deposit transferred immediately and they have not matured, you may incur a redemption penalty. We cannot accept requests to transfer assets from certificates of deposit more than 60 days before their maturity.

4. Participant Authorization

I authorize the transfer of assets or direct rollover as noted above to my Pax World Funds IRA and authorize my current custodian, Pax World Funds and BNY Mellon Investment Servicing Trust Company to process this request on my behalf. I understand it is my responsibility to insure the prompt transfer of assets or direct rollover by the current custodian. I have read and understand all information on this form and hereby provide the applicable authorization.

Participant's Signature

Date

Medallion Signature Guarantee Stamp and Signature: An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

Medallion Signature Guarantee
