



Pax World Mutual Funds

Regular mail:
Pax World Funds
PO Box 9824
Providence RI 02940-8024

Overnight mail:
Pax World Funds
4400 Computer Drive
Westborough MA 01581-1722
Telephone: 1(800) 372-7827

SIMPLE IRA Rollover Certification

Use this form to certify that a contribution is an eligible rollover contribution. You must complete the rollover within 60 calendar days of your receipt of that distribution. For help filling out this form please call 1(800) 372-7827 between 8AM and 6PM ET M-F.

Important Changes To The Rules Governing Indirect (60-Day) Rollovers Between Ira Accounts: Effective January 1, 2015, there is a new restriction on indirect (60-day) IRA-to-IRA rollovers. An IRA participant is allowed only one rollover across all IRAs (Traditional, Rollover, Roth, SEP, SARSEP and SIMPLE IRAs) in aggregate that a taxpayer owns in any 12-month or 365-day period. As an alternative, a participant can make an unlimited number of trustee-to-trustee transfers where the proceeds are delivered directly to the receiving financial institution, successor custodian or trustee. You must contact the receiving institution to initiate a trustee-to-trustee transfer. For more information please visit the Internal Revenue Service's web site www.irs.gov using the search term "IRA One-Rollover-Per-Year Rule".

1. Participant Information

First Name	Middle Initial	Last Name	Social Security/Tax ID No.
Mailing Address	City	State	Zip Code
Birthdate (mm/dd/yyyy)	Contact Telephone	Email Address	

Check here if contact information above is new.

Pax World SIMPLE IRA Account Number (if applicable) _____

(If you are establishing a new Pax World SIMPLE-IRA Account, you must also complete a SIMPLE-IRA Account Application.)

2. Invest as Follows:

Amount of Rollover \$ _____

Please purchase into the following fund(s):

Pax Large Cap Fund	\$ _____	or _____ %	<input type="checkbox"/> Individual Class (3050)
Pax Mid Cap Fund	\$ _____	or _____ %	<input type="checkbox"/> Individual Class (3043)
Pax Small Cap Fund	\$ _____	or _____ %	<input type="checkbox"/> Individual Class (3048); <input type="checkbox"/> Class A (3098)
Pax ESG Beta® Quality Fund	\$ _____	or _____ %	<input type="checkbox"/> Individual Class (3042); <input type="checkbox"/> Class A (3092)
Pax ESG Beta® Dividend Fund	\$ _____	or _____ %	<input type="checkbox"/> Individual Class (3041)
Pax MSCI EAFE ESG Leaders Index Fund	\$ _____	or _____ %	<input type="checkbox"/> Individual Class (3047)
Pax Ellevest Global Women's Index Fund	\$ _____	or _____ %	<input type="checkbox"/> Individual Class (3046)
Pax Global Environmental Markets Fund	\$ _____	or _____ %	<input type="checkbox"/> Individual Class (3049); <input type="checkbox"/> Class A (3099)

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Pax Balanced Fund \$ _____ or _____ % Individual Class (3040)

Pax Core Bond Fund \$ _____ or _____ % Individual Class (3045)

Pax High Yield Bond Fund \$ _____ or _____ % Individual Class (3044);
 Class A (3094)

4. Participant Certification

By signing below, I certify that the following are true and correct:

- The investment is an eligible SIMPLE IRA rollover contribution being rolled over within 60 calendar days.
- The rollover does not include any required minimum distribution amounts or corrective distribution amounts.

I understand that this rollover contribution is irrevocable. I agree that I am solely responsible for all tax consequences. I also agree that neither the Custodian nor Pax World Funds shall have responsibility for any such tax consequences or any consequences resulting from this amount being ineligible for rollover. Rules regarding rollovers, and their tax implications, are complex. Please refer to IRS Publications 560 and 590 or a professional tax advisor for more information.

I have read this form and understand and agree to be legally bound by the terms of this form. I also understand that the Custodian will rely on my certification when accepting my rollover contribution.

Participant's Signature

Date