



Pax World Mutual Funds

Regular mail:  
Pax World Mutual Funds  
PO Box 55370  
Boston MA 02205-5370

Overnight mail:  
Pax World Mutual Funds  
c/o BFDS  
30 Dan Road Suite #55370  
Canton, MA 02021-2809  
Telephone: 800.372.7827

## New Account Application - Individual Investor and Institutional Class Shares

Do not use this application to open an IRA or other retirement account. For help filling out this form, please call 800.372.7827 between 8AM and 6PM ET M-F.

**Important Information about procedures for opening a new account** To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account. What this means for you: When you open an account, we will ask for your name, address, date of birth, and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents. For certain entities, such as trusts, estates, corporations, partnerships, or other organizations, identifying documentation is also required. Your account may be restricted and/or closed if we cannot verify your information. We will not be responsible for any losses or damages resulting from any failure to provide this information, or from any restriction placed upon, or closing of, your account.

**Inactive Account Notice** You should be aware that if no activity occurs in your account within the time period specified by applicable state law, your account may be closed and the proceeds transferred to the appropriate state.

### 1. Type of Account

- Individual (complete A)
- Joint Tenants (complete A and B)
- Gift/Transfer to Minor (complete C)
- Trust (complete D and F)
- Corporation or Other Entity (complete E and F)

#### A. Individual Account/Joint Account Primary Owner

First Name	Middle Initial	Last Name
Social Security/Tax ID No. (required)		Birthdate (mm/dd/yyyy) (required)
Citizen of: <input type="checkbox"/> U.S. <input type="checkbox"/> Other* (specify):		

#### B. Joint Account Secondary Owner

Joint Tenants will have rights of survivorship unless otherwise specified.

First Name	Middle Initial	Last Name
Social Security/Tax ID No. (required)		Birthdate (mm/dd/yyyy) (required)
Citizen of: <input type="checkbox"/> U.S. <input type="checkbox"/> Other* (specify):		

\* For any non-U.S. government ID, attach copy of ID document and indicate ID type, country of issuance and country of birth:

Individual 1: \_\_\_\_\_ Individual 2: \_\_\_\_\_

#### C. Gift/Transfer to Minor Account

Custodian Name (only one permitted)	Birthdate (mm/dd/yyyy) (required)	Social Security Number (required)
As Custodian, under the _____ (state) Uniform Gifts/Transfers to Minors Act, for:		
Minor Name (only one permitted)	Birthdate (mm/dd/yyyy) (required)	Social Security Number (required)

#### D. Trust Account

Please provide a copy of the first page and signature page of the trust agreement, along with the page(s) that name the trustees.

Name of Trust	Date of Trust (mm/dd/yyyy) (required)
Name of Trustee	Name of Second Trustee (if any)
Trust Tax ID No. (required)	

#### E. Corporation/Other Entity Account

Please indicate your corporate tax status:  C Corporation  S Corporation  Partnership

State or Government Agency  Other \_\_\_\_\_

Type of Business or Entity \_\_\_\_\_

Please provide certified copy of organizational documents such as Corporate Resolution or Partnership Agreement.

Name of Corporation or Other Entity	Tax ID No. (required)
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**For Non-U.S. Entities:** Is your company any of the following: A bank organized and located outside the United States; a foreign office, agent or branch of a U.S. covered financial institution\*; money transmitter; currency dealer or exchanger; or a company that if located in the U.S. would be required to register as a mutual fund, securities broker-dealer or a futures commission merchant?

Yes  No If yes, please provide business classification: \_\_\_\_\_

\*A "U.S. covered financial institution" is generally any of the following: A bank; a credit union; a savings association; a corporation acting under section 25A of the Federal Reserve Act; a trust bank or company; a securities broker-dealer; a futures commission merchant; an introducing broker; or a mutual fund.

**F. Authorized Persons** Required for Trust, Corporation/Other Entity accounts; please provide the following information for each individual authorized to trade on the account.

**1.**

First Name	Middle Initial	Last Name	Title (i.e. president, treasurer, trustee)
Street Address (required; PO Box not permitted, except APO or FPO)		City	State Zip Code
Social Security/Tax ID No. (required)		Birthdate (mm/dd/yyyy) (required)	

Citizen of:  U.S.  Other\* (specify):

**2.**

First Name	Middle Initial	Last Name	Title (i.e. president, treasurer, trustee)
Street Address (required; PO Box not permitted, except APO or FPO)		City	State Zip Code
Social Security/Tax ID No. (required)		Birthdate (mm/dd/yyyy) (required)	

Citizen of:  U.S.  Other\* (specify):

\* For any non-U.S. government ID, attach copy of ID document and indicate ID type, country of issuance and country of birth:

Individual 1: \_\_\_\_\_ Individual 2: \_\_\_\_\_

Check here if extra pages are attached for additional Authorized Persons.

**2. Address of Record**

Street Address (required; PO Box not permitted, except APO or FPO)	City	State	Zip Code
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Joint Account Owner's Street Address (required; PO Box not permitted, except APO or FPO)

Mailing Address (if different from above)

( )	Email Address
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Contact Telephone

**3. Broker-Dealer or Advisor Information (if applicable)**

By designating a broker/dealer or financial adviser, I/we hereby authorize the Fund and its transfer agent to accept instructions from, and transmit information to, such designee concerning my/our account(s). RIA's and other financial advisors without seeing agreements with Pax World will receive duplicate statements only and will not be authorized to provide instructions for transactions or account changes.

RIA check here.

FirmName	Dealer Number	Branch Number	Rep.Number
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Mailing Address	City	State	Zip Code
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Representative's Name	Contact Telephone
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**4. Your Initial Investment**

Individual Investor Class minimum: \$1000; Institutional Class minimum: \$250,000.

I have enclosed a check made payable to Pax World Funds in the amount of \$ \_\_\_\_\_.

Please purchase shares in the following Funds:

			Dividends		Capital Gains	
			Cash*	Reinvest	Cash*	Reinvest
Pax Large Cap Fund	\$ _____	<input type="checkbox"/> Indiv. Class (3050) <input type="checkbox"/> Inst. Class (3070)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pax Mid Cap Fund	\$ _____	<input type="checkbox"/> Indiv. Class (3043) <input type="checkbox"/> Inst. Class (3063)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pax Small Cap Fund	\$ _____	<input type="checkbox"/> Indiv. Class (3048) <input type="checkbox"/> Inst. Class (3068)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pax ESG Beta® Quality Fund	\$ _____	<input type="checkbox"/> Indiv. Class (3042) <input type="checkbox"/> Inst. Class (3062)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pax ESG Beta® Dividend Fund	\$ _____	<input type="checkbox"/> Indiv. Class (3041) <input type="checkbox"/> Inst. Class (3061)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pax MSCI EAFE ESG Leaders Index Fund	\$ _____	<input type="checkbox"/> Indiv. Class (3047) <input type="checkbox"/> Inst. Class (3067)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pax Ellevest Global Women's Index Fund	\$ _____	<input type="checkbox"/> Indiv. Class (3046) <input type="checkbox"/> Inst. Class (3066)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pax Global Environmental Markets Fund	\$ _____	<input type="checkbox"/> Indiv. Class (3049) <input type="checkbox"/> Inst. Class (3069)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pax Balanced Fund	\$ _____	<input type="checkbox"/> Indiv. Class (3040) <input type="checkbox"/> Inst. Class (3060)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pax Core Bond Fund	\$ _____	<input type="checkbox"/> Indiv. Class (3045) <input type="checkbox"/> Inst. Class (3065)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Pax High Yield Bond Fund	\$ _____	<input type="checkbox"/> Indiv. Class (3044) <input type="checkbox"/> Inst. Class (3064)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

\*  Check here, and complete Section 6B to have your cash dividends and/or capital gains electronically transmitted to your bank account.

**The Pax World Global Citizen Program** enables shareholders to donate a portion of their dividends and/or capital gains to one of two non-profit organizations that support Pax World's mission: Mercy Corps and Women Thrive Alliance. For additional information on these organizations visit [www.paxworld.com/global-citizen](http://www.paxworld.com/global-citizen). **Please note: Any portion of the Dividend or Capital Gain that you receive will be reinvested.**

Please designate a portion of my Pax World Capital Gains and/or income Dividends to **Mercy Corps**:

Fund Name: \_\_\_\_\_

Fund Name: \_\_\_\_\_

Capital Gains:

5%  10%  25%  50%  100%

Capital Gains:

5%  10%  25%  50%  100%

Income Dividends:

5%  10%  25%  50%  100%

Income Dividends:

5%  10%  25%  50%  100%

Please designate a portion of my Pax World Capital Gains and/or income Dividends to **Women Thrive Alliance**:

Fund Name: \_\_\_\_\_

Fund Name: \_\_\_\_\_

Capital Gains:

5%  10%  25%  50%  100%

Capital Gains:

5%  10%  25%  50%  100%

Income Dividends:

5%  10%  25%  50%  100%

Income Dividends:

5%  10%  25%  50%  100%

Mercy Corps and Women Thrive Alliance are non-profit philanthropic organizations not otherwise affiliated with Pax World. All donations to these organizations are tax-deductible as allowed by law. Your participation in the Global Citizen Program and designation to Mercy Corps or Women Thrive Alliance will continue until you notify Pax World that you would like to discontinue your participation in the program. Thank you for your support of these very worthwhile organizations.

## 5. Cost Basis Method

Your cost basis election will be used to calculate the gain or loss on sales of mutual funds shares, for eligible accounts, acquired after January 1, 2012. Your election will apply to all funds you are opening. To choose a different method for each fund, please attach a letter of instruction signed by all account owners.

If you do not elect a cost basis method the Fund Default of **Average Cost** will apply.

- Average Cost (default method)       First In, First Out (FIFO)       Last In, First Out (LIFO)
- Highest Cost, First Out (HIFO)       Lowest Cost, First Out (LOFO)       Loss/Gain Utilization(LGUT)
- Specific Lot Identification (SLID)

Secondary Accounting Method Selection (Average Cost is NOT a valid secondary method) \_\_\_\_\_

If you do not choose a valid secondary method, FIFO will be used.

Write selection here

Please visit [www.paxworld.com/costbasis](http://www.paxworld.com/costbasis) for more information regarding cost basis.

## 6. Select Your Account Options

### A. Please select the options you wish to add to your account:

#### Telephone/Online Exchange, Purchase and Redemption

Your account will automatically be coded with Telephone/Online Purchase, Telephone/Online Exchange and Telephone/Online Redemption Privileges, unless you check a box below to decline these privileges. If you do not provide banking information in Section B, Telephone/Online Redemptions will be mailed via check to your address of record.

- Decline Telephone/Online Purchase       Decline Telephone/Online Exchange       Decline Telephone/Online Redemption

Please review the rules set forth in the prospectus regarding Telephone and Online transactions. Neither Pax World nor its transfer agent will be liable for any loss, liability, cost or expense for acting upon requests reasonably believed to be genuine. We reserve the right to modify, limit the use of, or terminate these privileges at any time.

- Automatic Investment (Section B is required for this option)** – allows you to invest automatically each month or quarter by electronically debiting your checking or savings account. Funds are transferred via the Automated Clearing House (ACH) system, and the plan may take up to 10 days to become effective.

Please invest \$\_\_\_\_\_ (minimum \$50 per account) on the \_\_\_\_\_ day of each  month or  quarter, beginning in the month of \_\_\_\_\_. If no day or frequency is selected, investments will be made into the selected fund(s) on the 20th day of each month.

*If the selected date falls on a weekend or holiday, your Automatic Investment will take place on the next available business day. You will receive quarterly confirmations of these transactions.*

Please automatically purchase into the following Fund(s):

Fund \_\_\_\_\_ \$ \_\_\_\_\_ or \_\_\_\_\_% (min. \$50)

Fund \_\_\_\_\_ \$ \_\_\_\_\_ or \_\_\_\_\_% (min. \$50)

Fund \_\_\_\_\_ \$ \_\_\_\_\_ or \_\_\_\_\_% (min. \$50)

I hereby authorize the Fund and its transfer agent to honor instructions processed under the above-selected account options to purchase/exchange/redeem shares when directed and as specified, by transmitting the proceeds, as applicable, to me at my address of record or by debiting/crediting my preauthorized bank account. I hereby ratify any such instructions and agree to indemnify the Fund and its transfer agent from any loss, liability, cost, damage and expense for acting upon such instructions. I understand that if I submit a change of address certain privileges will be suspended for a period of 30 days, and that all checks will be issued in the name(s) of all registered owner(s).

**B. Please provide your bank account information**

Please attach a voided, unsigned check or savings deposit slip for the bank account to be used in conjunction with electronic (ACH) transactions.

Bank Name	Name(s) on Bank Account	
Bank Routing/ABA Number	Bank Account Number	This is a: <input type="checkbox"/> Checking <input type="checkbox"/> Savings Account

Note: one common name must appear on both your Pax World account registration and the bank account registration.

You are hereby authorized to pay and charge to my account debits drawn on my account by and payable to the order of Pax World Mutual Funds. This authority is to remain in effect until revoked by me in writing and, until you receive such notice, I agree you shall be fully protected in honoring any such check. I further agree that if any such check is dishonored, whether with or without cause and whether intentionally or inadvertently, you shall be under no liability whatsoever. This option, if exercised, shall become part of the account application and the terms, representations and conditions thereof.

**7. Consent for E-Delivery**

If you elect E-Delivery, you will receive a notification to the email address provided in Section 2 informing you when a document is available for viewing at [www.paxworld.com](http://www.paxworld.com).

You may view, change or revoke your E-Delivery preferences and the email address we have on file for you at any time by logging into our online account access system at [www.paxworld.com](http://www.paxworld.com). Confidential account information will not be sent to you or requested from you via email.

**Document Types for E-Delivery:**

- Prospectus
- Annual Report
- Semi-Annual Report
- Quarterly Statements
- Tax Forms
- Proxy Materials
- Transaction Confirmations

**8. Your Signature**

All registered account owners or legal representatives must sign this section before the Fund can open your account. The undersigned warrant(s) that the undersigned has (have) full authority and is (are) of legal age to purchase shares of the Fund and has (have) received and read a current prospectus of the Fund and agree(s) to its terms. The Fund and its transfer agent shall not be liable for acting upon instructions or inquiries believed to be genuine.

**Taxpayer Identification Number Certification**

As required by Federal law, I/we certify under penalties of perjury that:

1. The Social Security Number or Taxpayer Identification Number listed above is correct, and
2. I/we HAVE NOT been notified by the IRS that I/we am/are subject to backup withholding ( Check this box if you ARE subject to backup withholding), and
3. I/we am/are a U.S. person (including a U.S. resident alien).
4. The FATCA codes(s) entered on the line (if any) indicating that I am exempt from FATCA reporting is correct.

**The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.**

Signature	Date
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Signature	Date
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