IMPAX
Asset Management

Regular mail:	**Overnight mail:**
Impax Funds P.O. Box 534463 Pittsburgh, PA 15253-4463	Impax Funds Attention: 534463 500 Ross Street, 154-0520 Pittsburgh, PA 15262 Telephone: 1 (800) 372 7827

Traditional IRA and Roth IRA Transfer Of Assets/Direct Rollover

Use this form to request an IRA transfer of assets or a direct rollover (excluding qualified rollover contributions (conversions) to a Roth IRA) from an existing retirement plan account to your IRA at Impax Funds. Based on your instructions, BNY Mellon Investment Servicing Trust Company will initiate the transfer or rollover for you. If you reached or passed the age Required Minimum Distributions begin (age 70 1/2 if you were born on or prior to June 30, 1949 and age 72 if you were born on or after July 1, 1949), you are responsible for distributing any required minimum distribution amounts from your current retirement plan account (excluding Roth IRAs) in advance of the transfer or rollover. Incomplete information will result in delays in processing your request.

Direct Rollover Notice: If this contribution is a direct rollover from a qualified plan, 403(b), or 457 plan, I understand that by signing page 2 of this form, I am acknowledging that the direct rollover contribution is an irrevocable election and is no longer eligible for special tax treatment which may be accorded to distributions from a qualified plan, 403(b), or 457 plan.

You should contact your current plan administrator or custodian prior to completing this form to ensure that you have received and completed any in-house forms that they may require. Direct rollovers from a qualified plan to an IRA can only be in the form of cash.

Fields noted with an asterisk (*) are required.

1. Participant Information

Name*		Social Security/Tax ID No.*	
Mailing Address*	City*	State*	Zip Code*
Day Telephone	Evening Telephone	Cell Telephone	
Email Address			

☐ Check here if contact information above is new. The new information will replace the information currently on file.

2. Please Tell Us Where to Invest. Complete Items A, B, C and D.

A. Choose one

☐ I am opening a new IRA(s) and have attached the required application or documents.

☐ Deposit the proceeds into my IRA Account: # ____________

B. Type of Account Transferring Into: ☐ Traditional IRA ☐ Rollover IRA ☐ SEP-IRA ☐ Roth IRA

C. Please purchase into the following fund(s):

	Amount to be invested			Investor Class	Class A
Impax Large Cap Fund	$	OR	%	☐ 3050	
Impax Small Cap Fund	$	OR	%	☐ 3048	☐ 3098
Impax US Sustainable Economy Fund	$	OR	%	☐ 3042	☐ 3092
Impax Global Sustainable Infrastructure Fund	$	OR	%	☐ 3041	
Impax Global Opportunities Fund	$	OR	%	☐ 3051	
Impax Global Environmental Markets Fund	$	OR	%	☐ 3049	☐ 3099
Impax Ellevate Global Women's Leadership Fund	$	OR	%	☐ 3046	
Impax Global Social Leaders Fund	$	OR	%	☐ 3052	
Impax International Sustainable Economy Fund	$	OR	%	☐ 3047	
Impax Core Bond Fund	$	OR	%	☐ 3045	
Impax High Yield Fund	$	OR	%	☐ 3044	☐ 3094
Impax Sustainable Allocation Fund	$	OR	%	☐ 3040	

D. Type of Request:

☐ IRA Transfer of Assets (like accounts)[1, 2]

☐ Direct Rollover from a Qualified Plan to an IRA

☐ Direct Rollover from a 403(b) or 457 to an IRA

1 SEP-IRAs can be transferred into a Traditional IRA.
2 SIMPLE IRAs (after the required two year holding period) can be transferred into a Traditional IRA.

3. Current Custodian and Account Information

Please attach your most recent statement, if possible. Note: your current custodian may require a Medallion Signature Guarantee to process your transfer request. Please see the Participant Authorization section for an explanation of the Medallion Signature Guarantee.

Type of account you are transferring/rolling over from (check one):

☐ Traditional/Rollover IRA ☐ SEP-IRA ☐ Roth IRA ☐ 403(b) ☐ 457Plan ☐ Qualified Plan[3]

☐ SEP-IRA that is being transferred into a Traditional IRA.

☐ SIMPLE IRA (after the required two year holding period) that is being transferred into a Traditional IRA.

3 If you are rolling over a qualified plan, please contact your current plan administrator for distribution/rollover in-house form requirements.

Name of Current Custodian or Agent*	Daytime Telephone of Current Custodian or Agent*		
Address of Current Custodian or Agent*	City*	State*	Zip Code*

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1. Investment to transfer

Account Number	Share Class

☐ Liquidate Entire Account
☐ Partial Dollar Amount $ ______ or Number of Shares ______
☐ Transfer In-Kind

For Certificate of Deposits: ☐ Immediately[1] ☐ At Maturity: Date ______

1 **Note:** If you wish to have certificates of deposit transferred immediately and they have not matured, you may incur a redemtption penalty. We cannot accept requests to transfer assets from certificates of deposit more than 60 days before their maturity.

2. Investment to transfer

Account Number	Share Class

☐ Liquidate Entire Account
☐ Partial Dollar Amount $ ______ or Number of Shares ______
☐ Transfer In-Kind

For Certificate of Deposits: ☐ Immediately[1] ☐ At Maturity: Date ______

1 **Note:** If you wish to have certificates of deposit transferred immediately and they have not matured, you may incur a redemtption penalty. We cannot accept requests to transfer assets from certificates of deposit more than 60 days before their maturity.

4. Participant Authorization

I authorize the transfer of assets or direct rollover as noted above to my Impax Funds IRA and authorize my current custodian, Impax Funds and BNY Mellon Investment Servicing Trust Company to process this request on my behalf. I understand it is my responsibility to insure the prompt transfer of assets or direct rollover by the current custodian. I have read and understand all information on this form and hereby provide the applicable authorization.

Participant's Signature	Date

Medallion Signature Guarantee (if required by your current custodian): An eligible guarantor is a domestic bank or trust company, securities broker/dealer, clearing agency or savings association that participates in a medallion program recognized by the Securities Transfer Agents Association. The three recognized medallion programs are the Securities Transfer Agents Medallion Program (known as STAMP), Stock Exchanges Medallion Program (SEMP), and the Medallion Signature Program (MSP). A notarization from a notary public is NOT an acceptable substitute for a signature guarantee.

Medallion Signature Guarantee